

Conjecture of Colliot-Thélène
on zero-cycles over local fields

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k : a field,

X/k : projective smooth variety,

$$\mathrm{CH}_0(X) = \left(\bigoplus_{\substack{x \in X \\ \text{closed point}}} \mathbb{Z} \right) / \text{rat. } \sim \text{equiv.}$$

Chow group of zero-cycles on X modulo rational equivalence.

$$A_0(X) = \mathrm{Ker}(\mathrm{CH}_0(X) \xrightarrow{\deg} \mathbb{Z})$$

Chow group of zero-cycles of *degree zero*

$$\mathrm{alb}_X : A_0(X) \rightarrow \mathrm{Alb}_{X/k}(k)$$

Albanese map

Structure of $Alb_{X/k}(k)$:

A/k : abelian variety,

◦ $k = \mathbb{C} \Rightarrow A(k) = \mathbb{C}^d / \Gamma$ (Γ lattice)

◦ $[k : \mathbb{Q}] < \infty \Rightarrow A(k) = \mathbb{Z}^r \oplus$ (finite)
(Mordell-Weil)

◦ $[k : \mathbb{Q}_p] < \infty \Rightarrow A(k) = \mathbb{Z}_p^r \oplus$ (finite)
(Mattuck)

Known facts on $\text{Ker}(alb_X)$:

$$\dim(X) = 1 \Rightarrow \text{Ker}(alb_X) = 0 \text{ (Abel)}$$

$$H^0(X, \Omega_X^2) \neq 0 \Rightarrow \dim \text{Ker}(alb_X) = \infty$$

(Mumford)

Bloch-Beilinson Conjecture :

$$[k : \mathbb{Q}] < \infty \Rightarrow \text{Ker}(alb_X) \text{ finite } ??$$

Structure of $\text{Ker}(alb_X)$ depends on
arithmetic nature of k

How about the case $[k : \mathbb{Q}_p] < \infty$?

$$[k : \mathbb{Q}_p] < \infty$$

\mathcal{O}_k : ring of integers in k

$F = \mathcal{O}_k/\mathfrak{m}_k$: residue field

$p = \text{ch}(F)$: residue characteristic

Question of Colliot-Thélène :

$$(CT1) \quad \boxed{\text{Ker}(alb_X) = D(X) \oplus (\text{finite}) \quad ??}$$

$D(X)$: maximal divisible subgroup of $A_0(X)$.

$$(CT2) \quad \boxed{D(X)_{tor} = 0 \quad ??}$$

$$\boxed{(CT1) + (CT2) \Rightarrow A_0(X)_{tor} \text{ finite}}$$

Affirmative results :

- surfaces (Saito-Sujatha)
- Varieties fibered over curves in quadrics
(Colliot-Thélène/Skorobogatov, Parimala/Suresh)
- Varieties fibered over curves in Severi-Brauer
varieties (Salberger)
- Products of curves (Raskind-Spiess)

Theorem (Colliot-Thélène)

X : smooth compactification of connected
linear algebraic group over k . Then

$$A_0(X) = (\text{finite}) \oplus \left(\begin{array}{l} p\text{-primary torsion} \\ \text{of finite exponent} \end{array} \right)$$

Theorem (Colliot-Thélène/Raskind, Salberger)

$$H^0(X, \mathcal{O}_X) = 0 \Rightarrow \text{CH}^2(X)_{\text{tor}} \text{ finite}$$

Theorem (Saito-Sato) Assume

(*) $\exists \mathcal{X}/\mathcal{O}_k$, regular projective model of X

s.t. $Y := (\mathcal{X} \times_{\mathcal{O}_k} \mathcal{O}_k/\mathfrak{m}_k)_{red}$ is a SNCD on \mathcal{X} .

$$\Rightarrow A_0(X) = D'(X) \oplus (\text{finite})$$

$D'(X)$: maximal p' -divisible subgroup of $A_0(X)$

(p' -divisible \Leftrightarrow divisible by $\forall n$ prime to p)

Remark: The conclusion of the theorem would be implied by (CT1) and Mattuck's theorem:

$$Alb_X(k) = \mathbb{Z}_p^r \oplus (\text{finite})$$

Corollary 1 ((*) not used)

$A_0(X)$ is ℓ -divisible for almost all primes ℓ .

Corollary 2

Assume (*) and X *rationally connected*.

$$\Rightarrow A_0(X) = (\text{finite}) \oplus \left(\begin{array}{l} p\text{-primary torsion} \\ \text{of finite exponent} \end{array} \right)$$

Note the exact sequence:

$$\mathrm{CH}_1(Y) \rightarrow \mathrm{CH}_1(\mathcal{X}) \rightarrow \mathrm{CH}_0(X) \rightarrow 0$$

$$|\mathrm{CH}_1(\mathcal{X})/n| < \infty \Rightarrow |\mathrm{CH}_0(X)/n| < \infty$$

R : henselian discrete valuation ring s.t.

$F = R/\mathfrak{m}_R$: finite or separably closed

\mathcal{X} : regular projective flat over R s.t.

$Y := (\mathcal{X} \times_R R/\mathfrak{m}_R)_{red}$: SNCD on \mathcal{X} .

$d = \dim(\mathcal{X}/R)$: relative dimension

Theorem (Saito-Sato)

$$\mathrm{CH}_1(\mathcal{X})/n \xrightarrow{\cong} H_{\acute{e}t}^{2d}(\mathcal{X}, \mathbb{Z}/n\mathbb{Z}(d))$$

$$(\forall n \text{ prime to } p)$$

Corollary Assume R/\mathfrak{m}_R finite.

$$\mathrm{CH}_1(\mathcal{X}) = D(\mathcal{X}) \oplus (\text{finite})$$

$D(\mathcal{X})$: maximal p' -divisible subgroup of $\mathrm{CH}_1(\mathcal{X})$

Key ingredients in the proof:

- Formalism of cycle class map in étale homology for Sch/R
- Absolute purity (Gabber)
- Affine Lefschetz theorem (Gabber)
- Theorem of Deligne (Weil conjecture)
- Bertini's theorem for Sch/R (Jannsen-Saito)
- Classical results on Brauer groups of arithmetic surfaces (Grothendieck, Artin)
- Theorem of Merkur'ev-Suslin

Theorem For any prime $\ell \neq p$,

$$\mathrm{CH}_1(\mathcal{X})\{\ell\} \xrightarrow{\cong} H_{\acute{\mathrm{e}}\mathrm{t}}^{2d-1}(\mathcal{X}, \mathbb{Q}_\ell/\mathbb{Z}_\ell(d))$$

(Analogue of Roitman's theorem and geometric class field theory)

Corollary Assume R/\mathfrak{m}_R finite.

$$\mathrm{CH}_1(\mathcal{X}) = D(\mathcal{X}) \oplus (\text{finite})$$

$D(\mathcal{X})$ uniquely p' -divisible

$$\Leftrightarrow n : D(\mathcal{X}) \simeq D(\mathcal{X}) \text{ for any } n \text{ prime to } p$$

In particular

$$\mathrm{CH}_1(\mathcal{X})\{p'\} = \bigoplus_{\ell \neq p} \mathrm{CH}_1(\mathcal{X})\{\ell\} \text{ is finite}$$

Key ingredient of the proof:

Theorem (Hironaka, Cossart-Jannsen-Saito)
 $(RES)_2$ holds.

$t \geq 1$: an integer

$(RES)_t$: For given

Z : excellent regular scheme,

B : SNCD on Z ,

$W \subset Z$: reduced closed of dimension $\leq t$,

$\exists : Z \leftarrow Z_1 \leftarrow \cdots \leftarrow Z_m$: sequence of blowups s.t.

the centers of $Z_{i+1} \rightarrow Z_i$ are regular and normal crossing with the complete transforms B_i of B in Z_i ,

the strict transform of W in Z_m is regular and normal crossing with B_m

$$\mathrm{CH}_1(Y) \rightarrow \mathrm{CH}_1(\mathcal{X}) \rightarrow \mathrm{CH}_0(X) \rightarrow 0$$

Infinite torsion in $\mathrm{CH}_0(X)$:

Fix:

$$[k : \mathbb{Q}_p] < \infty,$$

$$d \geq 0,$$

$$X = \{F(z) = 0\} \subset \mathbb{P}_k^3 = \mathrm{Proj}(k[\underline{z}])$$

$$F(z) = \sum_I a_I \underline{z}^I \in k[\underline{z}] = k[z_0, z_1, z_2, z_3]$$

(homogeneous polynomial of degree d)

$$(\underline{z}^I = z_0^{i_0} z_1^{i_1} z_2^{i_2} z_3^{i_3}, \quad I = (i_0, i_1, i_2, i_3))$$

Theorem (Asakura-Saito) Assume

- X is *generic*, i.e.
- $\{a_I\}_I$ algebraically independent over \mathbb{Q}
- $\exists \mathcal{X}/\mathcal{O}_k$: projective smooth model of X
- $d \geq 5$

$$\Rightarrow \mathrm{CH}_0(X)\{\ell\} \xrightarrow{\cong} (\mathbb{Q}_\ell/\mathbb{Z}_\ell)^{r-1} \oplus (\text{finite})$$

$$r = \dim(\mathrm{CH}^1(Y) \otimes \mathbb{Q})$$

$$Y = \mathcal{X} \times_{\mathcal{O}_k} \mathcal{O}_k/\mathfrak{m}_k$$

Bloch's exact sequence :

$$0 \rightarrow \mathrm{CH}^2(X, 1) \otimes \mathbb{Q}_\ell / \mathbb{Z}_\ell \rightarrow NH_{\acute{e}t}^3(X, \mathbb{Q}_\ell / \mathbb{Z}_\ell(2)) \\ \rightarrow \mathrm{CH}_0(X) \{ \ell \} \rightarrow 0$$

$$\Rightarrow (*) \quad \mathrm{CH}_0(X) \{ \ell \} \simeq (\mathbb{Q}_\ell / \mathbb{Z}_\ell)^s \oplus (\text{finite})$$

Localization sequence:

$$\mathrm{CH}^2(X, 1) \xrightarrow{\partial} \mathrm{CH}^1(Y) \\ \rightarrow \mathrm{CH}_1(\mathcal{X}) \rightarrow \mathrm{CH}_0(X) \rightarrow 0$$

\Rightarrow

$$\mathrm{CH}_0(X) \{ \ell \} \rightarrow \mathrm{Coker}(\partial) \otimes \mathbb{Q}_\ell / \mathbb{Z}_\ell \\ \rightarrow \mathrm{CH}_1(\mathcal{X}) \otimes \mathbb{Q}_\ell / \mathbb{Z}_\ell \xrightarrow{\iota} \mathrm{CH}_0(X) \otimes \mathbb{Q}_\ell / \mathbb{Z}_\ell \rightarrow 0$$

Theorem of Saito and Sato $\Rightarrow \mathrm{Ker}(\iota)$ finite

$$\Rightarrow s \geq \dim(\mathrm{Coker}(\partial) \otimes \mathbb{Q}) \text{ in } (*)$$

Commutative diagram

(Langer-Saito in case $\ell = p$)

$$\begin{array}{ccc}
 \mathrm{CH}^2(X, 1) & \xrightarrow{\rho_X} & H_g^1(G_k, V) \\
 \downarrow \partial & & \downarrow \\
 \mathrm{CH}^1(Y) & \xrightarrow{\alpha} & H^1(G_k, V) / H_f^1(G_k, V)
 \end{array}$$

$$G_k = \mathrm{Gal}(\bar{k}/k),$$

$$V = H_{\acute{e}t}^2(X_{\bar{k}}, \mathbb{Q}_\ell(2)),$$

$H_f^1 \subset H_g^1 \subset H^1(G_k, V)$: subspaces introduced by Bloch-Kato

$$\rho_X : \mathrm{CH}^2(X, 1) \rightarrow H^1(G_k, V)$$

ℓ -adic regulator map

Key fact: α is injective

Put:

$$V_{\text{prim}} = H_{\text{ét}}^2(X_{\bar{k}}, \mathbb{Q}_\ell(2)) / [H \cap X] \otimes \mathbb{Q}_\ell(1)$$

$H \subset \mathbb{P}_k^3$ hyperplane

$$[H \cap X] \in H_{\text{ét}}^2(X_{\bar{k}}, \mathbb{Q}_\ell(1))$$

$$\begin{aligned} \rho_{X,\text{prim}} : \text{CH}^2(X, 1) \otimes \mathbb{Q}_\ell &\rightarrow H^1(G_k, V) \\ &\rightarrow H^1(G_k, V_{\text{prim}}) \end{aligned}$$

Theorem

$$\begin{aligned} X \subset \mathbb{P}_k^3 : \text{generic of degree } d \geq 5 \\ \Rightarrow \rho_{X,\text{prim}} = 0 \end{aligned}$$

$\mathbb{P}_{\mathbb{Q}}^{\binom{d+3}{3}} \supset M$: moduli of smooth surfaces of degree d in \mathbb{P}^3/\mathbb{Q}

$X_M \rightarrow M$: universal family.

X generic $\Rightarrow \exists t : \text{Spec}(k) \rightarrow M$ s.t.

◦ t : dominant, i.e. $\mathbb{Q}(M) \hookrightarrow k$,

◦ $X \simeq X_M \times_M \text{Spec}(k)$ (base change via t)

$$CH^2(X, 1) = \varinjlim_{(S, t_S)} CH^2(X_S, 1)$$

$$\begin{array}{ccc} \text{Spec}(k) & \xrightarrow{t_S} & S \\ & t \searrow & \downarrow \text{smooth} \\ & & M \end{array}$$

$$X_S := X_M \times_M S$$

Commutative diagram

$$\begin{array}{ccc}
 \mathrm{CH}^2(X_S, 1) & \xrightarrow{\rho_{X_S, \mathrm{prim}}^{\acute{\mathrm{e}}\mathrm{t}}} & H_{\acute{\mathrm{e}}\mathrm{t}}^1(S, V_{S, \mathrm{prim}}^{\acute{\mathrm{e}}\mathrm{t}}) \\
 \downarrow & & \downarrow \\
 \mathrm{CH}^2(X, 1) & \xrightarrow{\rho_{X, \mathrm{prim}}} & H^1(G_k, V_{\mathrm{prim}})
 \end{array}$$

$$\rho_{X_S, \mathrm{prim}}^{\acute{\mathrm{e}}\mathrm{t}} : \mathrm{CH}^2(X_S, 1) \rightarrow H_{\acute{\mathrm{e}}\mathrm{t}}^1(S, V_{S, \mathrm{prim}}^{\acute{\mathrm{e}}\mathrm{t}})$$

$$f_S : X_S := X_M \times_M S \rightarrow S$$

$$V_{S, \mathrm{prim}}^{\acute{\mathrm{e}}\mathrm{t}} = (R^2(f_S)_* \mathbb{Q}_\ell(2))_{\mathrm{prim}}$$

(smooth \mathbb{Q}_ℓ -sheaf on $S_{\acute{\mathrm{e}}\mathrm{t}}$)

Reduced to showing $\rho_{X_S, \mathrm{prim}}^{\acute{\mathrm{e}}\mathrm{t}} = 0$

First key step : Kill $\text{Gal}(\overline{\mathbb{Q}}/\mathbb{Q})$.

Put $S_{\overline{\mathbb{Q}}} = S \times_{\mathbb{Q}} \overline{\mathbb{Q}}$.

Theorem

$$H^0(S, V_{S,\text{prim}}^{\text{ét}}) = (V_{S,\text{prim}}^{\text{ét}})^{\pi_1(S_{\overline{\mathbb{Q}}})} = 0.$$

Proof: monodromy argument, or Lefschetz principle + infinitesimal method in Hodge theory

Corollary

$$H_{\text{ét}}^1(S, V_{S,\text{prim}}^{\text{ét}}) \hookrightarrow H_{\text{ét}}^1(S_{\overline{\mathbb{Q}}}, V_{S,\text{prim}}^{\text{ét}})$$

Commutative diagram

$$\begin{array}{ccc}
 \mathrm{CH}^2(X_S, 1) & \xrightarrow{\rho_{X_S, \mathrm{prim}}^{\acute{\mathrm{e}}\mathrm{t}}} & H_{\acute{\mathrm{e}}\mathrm{t}}^1(S_{\overline{\mathbb{Q}}}, V_{S, \mathrm{prim}}^{\acute{\mathrm{e}}\mathrm{t}}) \\
 \downarrow & & \downarrow \simeq \\
 \mathrm{CH}^2(X_{S_{\mathbb{C}}}, 1) & \xrightarrow{\rho_{X_S, \mathrm{prim}}^{\mathrm{an}}} & H_{\mathrm{an}}^1(S(\mathbb{C}), V_{S, \mathrm{prim}}^{\mathrm{an}}) \otimes \mathbb{Q}_{\ell}
 \end{array}$$

$$f_{S_{\mathbb{C}}} : X_{S_{\mathbb{C}}} = X \times_S S_{\mathbb{C}} \rightarrow S_{\mathbb{C}} = S \times_{\mathbb{Q}} \mathbb{C}$$

$$\begin{aligned}
 V_{S, \mathrm{prim}}^{\mathrm{an}} &= (R^2(f_{S_{\mathbb{C}}})_*^{\mathrm{an}} \mathbb{Q}(2))_{\mathrm{prim}} \\
 &\text{(local system on } S(\mathbb{C}))
 \end{aligned}$$

$$\begin{aligned}
 \rho_{X_S, \mathrm{prim}}^{\mathrm{an}} : \mathrm{CH}^2(X_{S_{\mathbb{C}}}, 1) &\rightarrow H_{\mathrm{an}}^1(S(\mathbb{C}), V_{S, \mathrm{prim}}^{\mathrm{an}}) \\
 &\text{analytic regulator map}
 \end{aligned}$$

Reduced to showing $\rho_{X_S, \mathrm{prim}}^{\mathrm{an}} = 0$.

Theory of mixed Hodge modules (M. Saito) :

- $H := H_{\text{an}}^1(S(\mathbb{C}), V_{S, \text{prim}}^{\text{an}}) \in MHS$
- $\text{Image}(\rho_{X_S, \text{prim}}^{\text{an}}) \subset F^2 H_{\mathbb{C}}$
(Hodge filtration on $H_{\mathbb{C}} = H \otimes \mathbb{C}$)

Reduced to showing:

Lemma

- (1) $F^2 H_{\mathbb{C}} \hookrightarrow$ cohomology of de Rham complex:

$$\begin{array}{ccc}
 F^2 H_{dR}^2(X_S/S)_{\text{prim}} \otimes \mathcal{O}_{S_{\mathbb{C}}} & \xrightarrow{\nabla} & \\
 F^1 H_{dR}^2(X_S/S)_{\text{prim}} \otimes \Omega_{S_{\mathbb{C}}/\mathbb{C}}^1 & \xrightarrow{\nabla} & \\
 H_{dR}^2(X_S/S)_{\text{prim}} \otimes \Omega_{S_{\mathbb{C}}/\mathbb{C}}^2 & &
 \end{array}$$

- (2) The complex is exact.

Ingredients of proof :

(1)

◦ Degeneration of Hodge spectral sequence with coefficients in local systems

◦ Deligne's GAGA for vector bundles with integrable connections with regular singularities

(2)

Infinitesimal method in Hodge theory

($d = \deg(X) \geq 5$ is used)

Open Problem :

How about the case $d = 4$ ($K3$ surfaces) ?

Implication to Bloch-Kato conjecture

X/k : projective smooth over k

$[k : \mathbb{Q}] < \infty$ or $[k : \mathbb{Q}_p] < \infty$.

$$\rho_X^{r,q} : \mathrm{CH}^r(X, q) \otimes \mathbb{Q}_\ell \rightarrow H^1(G_k, V)$$

ℓ -adic regulator map

$$V = H_{\acute{e}t}^{2r-q-1}(X_{\bar{k}}, \mathbb{Q}_\ell(r))$$

p -adic Hodge theory \Rightarrow

$$\mathrm{Image}(\rho_X^{r,q}) \subset H_g^1(G_k, V)$$

(Faltings, Hyodo-Kato, Tsuji, Yamashita)

Conjecture of Bloch-Kato

(part of Tamagawa number conjecture):

$$[k : \mathbb{Q}] < \infty \Rightarrow \mathrm{Image}(\rho_X^{r,q}) = H_g^1(G_k, V)$$

Recall:

$$H^1(G_k, V) = \text{Ext}_{R_\ell(G_k)}^1(\mathbb{Q}_\ell, V)$$

$R_\ell(G_k)$: category of finite dimensional \mathbb{Q}_ℓ -spaces with continuous G_k -actions

$$\begin{aligned} [0 \rightarrow V \rightarrow E \rightarrow \mathbb{Q}_\ell \rightarrow 0] &\in H_g^1(G_k, V) \\ &\Leftrightarrow E : \text{de Rham representation of } G_k \end{aligned}$$

$$\begin{aligned} [0 \rightarrow V \rightarrow E \rightarrow \mathbb{Q}_\ell \rightarrow 0] &\in H_f^1(G_k, V) \\ &\Leftrightarrow E : \text{crystalline representation of } G_k \end{aligned}$$

Heuristically BK conjecture implies :

$$\boxed{E : \text{de Rham} \Rightarrow \text{realization of mixed motive}}$$

Corollary

Variant of BK conjecture over p -adic field is FALSE.